

HTST 490

WINTER 2017

Dr. Dawn Miller

Monday, Wednesday, Friday 1:00 p.m. – 1:50 p.m. Location: ST 132

Office hour: Monday 12:00 noon – 12:45 pm

Office: SS 615 E-mail millerd@ucalgary.ca

ESPIONAGE AND THE STATE 1939 TO THE PRESENT

COURSE DESCRIPTION

Intelligence and intelligence services have played an increasingly vital role in the relations of states and societies since the end of World War I. This course will examine the evolution of intelligence and its role in the political and military affairs of states from the outbreak of World War II to the post-Cold War era.. Particular attention will be paid to the collection, analysis and use of intelligence by states, to deception, covert operations and propaganda and to intelligence's role in both foreign and domestic policy.

REQUIRED TEXT

As no single text properly covers our subject, there is no required text for this course. Instead see the Recommended Readings List in this outline.

COURSE ASSIGNMENTS

Mid-Term Exam WRITTEN IN CLASS 1 MARCH 2017 20%

Research Essay DUE IN CLASS 20 MARCH 2017 50%

Take Home Exam DUE BY 10:00 A.M. 13 APRIL 2017 30%

In fairness to all students absolutely no extensions will be granted on the Research Essay or the mid-term exam except in extremely exceptional circumstances. Work commitments, holidays or traffic are not considered legitimate reasons for missing an exam. IN EVERY CASE, the student will need to support the case for illness OR other comparable calamity, with documentation BEFORE a make-up exam can be written.

NO EXTENSIONS WILL BE GRANTED FOR THE TAKE HOME EXAM WHICH IS DUE BY 10:00 A.M. ON 13 APRIL 2017. IF THE TAKE HOME EXAM IS LATE IT WILL RECEIVE A GRADE OF 'F'.

The mid-term will be an essay exam written in class time. We will discuss the specifics of the mid-term exam in class.

For the Research Essay each student must complete an essay on an aspect of intelligence between 1939 and the present and the topic must be approved by the instructor BEFORE the essay is submitted for marking. Particulars of the essay will be discussed in class. PLEASE NOTE: A penalty of one letter level will be levied for each day the Research Essay is late, i.e. an essay which would have received a B+ will be reduced to a B if it is submitted a day late, to a B- if it is two days late, etc.

The Take Home Exam essay topics will be determined by the instructor. The particulars of the Take Home Exam will be discussed in class.

PLEASE NOTE: THE RESEARCH ESSAY AND TAKE HOME EXAM MUST BE SUBMITTED IN HARD, I.E. PAPER, FORM. NO OTHER FORMAT WILL BE ACCEPTED FOR THE RESEARCH ESSAY OR TAKE HOME EXAM.

Students will not be required to complete all 3 components of the course (mid-term exam, research essay, take-home final) to receive a passing grade for the course. However, students will not receive a mark for any components of the course they do not complete and the resulting zero will be part of the calculation of the final grade for any student not completing all 3 components of the course.

PROPOSED LECTURE SCHEDULE

The following is a provisional list of class topics and is subject to revision or alteration.

Week of 9 Jan 2017	Introduction, The 1930s
Week of 16 Jan 2017	World War II, 1939-40
Week of 23 Jan 2017	The Widening War II, 1941-3
Week of 30 Jan. 2017	World War II: The Ultra Secret, The Tide turns
Week of 6 Feb. 2017	World War II – Double Cross, D-Day and after
Week of 13 Feb. 2017	Outbreak of the Cold War
Week of 20 Feb. 2017	READING WEEK – NO CLASSES
27 Feb., 3 March 2017	Cold War Soviet Style: moles & double agents
1 March 2017	MID-TERM EXAM
Week of 6 March 2017	Cold War U./S. Style part I .

10 March 2017	LAST DAY TO SUBMIT RESEARCH ESSAY TOPIC
Week of 13 March 2017	Cold War U./S. Style part II
17 March 2017	RESEARCH ESSAY DUE IN CLASS
Week of 20 March 2017	Israel and Latin America
Week of 27 March 2017	Iran; Into the Post-Cold War
Week of 3 April 2017	Post Cold War, Part I
10, 12 April 2017	Post Cold War, Part II
13 April 2017	TAKE HOME FINAL DUE BY 10:00 A.M.

RECOMMENDED READINGS LIST

It is recommended that students do at least one reading (e.g. an article or book chapter) per week. The articles listed below are all available via the University of Calgary Library website. The books listed are on reserve for this course.

PLEASE NOTE: The published literature (books and peer-reviewed journal articles) is vast. The sources listed below are good sources but they are not the only good sources on these subjects. If students choose to go beyond this list, they should stay with published books and peer-reviewed journal articles. If students have any questions they should consult the instructor.

THEORY AND PRE-WORLD WAR II

R.K. Betts, "Surprise despite Warning: Why Sudden Attacks Succeed" *Political Science Quarterly* 95/4 1980.

R. Gerald Hughes, "Of Revelatory History and Hatchet Jobs: Propaganda and Methods in Intelligence History" *Intelligence and National Security* vol. 23, #6, 2008.

Dawn M. Miller, "Dark Waters: Britain and Italy's Invasion of Albania, 7 April 1939", *International Journal of Intelligence and Counter Intelligence*, Vol. 16, #2 2003.

Wesley K. Wark, "Something Very Stern: British Political Intelligence, Moralism and Grand Strategy" *Intelligence and National Security* vol. 5, #1, 1990.

WORLD WAR II – STRATEGY & POLITICS

Christopher Baxter, “Forgeries and Spies, The Foreign Office and the Cicero Case”. *Intelligence and National Security*, vol. 23, #6, 2008.

Ralph Bennett, “Intelligence and Strategy: Some Observations on the war in the Mediterranean”, *Intelligence and National Security*, vol.5, #2, 1990.

Wesley K. Wark, “The Evolution of Military Intelligence in Canada”, *Armed Forces and Society*, Vol. 16, #1, 1989.

WORLD WAR II – DECEPTIONS & CAMPAIGNS

Ralph Bennett, *Ultra and Mediterranean Strategy*, New York: William Morrow & Company, 1989.

Sebastian Cox, “A Comparative Analysis of RAF and Luftwaffe Intelligence in the Battle of Britain, 1940” *Intelligence and National Security* vol. 5, #2, 1990.

James Crossland, “The Mutiny that never was: The Special Operations Executive and the failure of Operation Kitchenmaid”, *Intelligence and National Security* vol. 28, #6, 2013.

Douglas Ford, “Dismantling the Lesser Men and ‘Superman Myths’: U.S. Intelligence and the Imperial Japanese Army after the fall of the Philippines, 1942 to spring 1943”, *Intelligence and National Security*, vol. 24, #4, 2009.

Tomas Harris, *Garbo, the Spy who Saved D-Day*, Introduction by Mark Seaman, Toronto: The Dundurn Group, 2000.

Dawn M. Miller, “Raising the Tribes: British Policy in East Africa 1940-1941”, *Journal of Strategic Studies*, vol. 22, #1, 1999.

W.J.M. MacKenzie, *The Secret History of SOE: The Special Operations Executive, 1940-1945*. London: St. Ermin’s Press, 2000.

David E. Murphy, *What Stalin Knew, The Enigma of Barbarossa*, New Haven: Yale UP, 2005.

Denis Smyth, *Deathly Deception, the Real Story of Operation Mincemeat*, Oxford: Oxford UP, 2010

Francis Suttill and M.R.D. Foot, “SOE’s ‘Prosper’ Disaster of 1943” *Intelligence and National Security*, vol. 26, #1 2011.

Ben Wheatley, "The Foreign Press Research and Press Service: Britain's Primary Source of Intelligence from the German Occupied Baltic States during the Second World War", *Intelligence and National Security*, vol. *Intelligence and National Security*, vol. 28, #5, 2013.

WORLD WAR II – SIGINT

Ralph Bennett, *Ultra and Mediterranean Strategy*, New York: William Morrow & Company, 1989.

Sir Arthur Bonsall, "Bletchley Park and the RAF Y Service, Some Reflections", *Intelligence and National Security*, vol.23, #6, 2008.

Ralph Erskine, "Tunny Reveals B-Dienst Successes against the Convoy Code", *Intelligence and National Security*, vol.28, #6, 2013.

Marcus Faulkner, "The Kriegsmarine Signal Intelligence and the Development of B-Dienst before the Second World War", *Intelligence and National Security*, vol.25, #4, 2010.

John Ferris, "The British Army, Signals and Security in the Desert Campaign 1940-2", *Intelligence and National Security*, vol.5, #2, 1990.

John R. Ferris, "Consistent with an Intention: The Far East Combined Bureau and the Outbreak of the Pacific War 1940-1941" *Intelligence and National Security* vol. 27, #1 2012.

Christopher Grey, "The Making of Bletchley Park and Signals Intelligence 1939-1942", *Intelligence and National Security*, vol. 28, #6, 2013.

COLD WAR: OUTBREAK - 1960

Huw Dylan, "Britain and the Missile Gap. British estimates on the Soviet Ballistic Missile Threat 1957-1961", *Intelligence and National Security*, vol. 23, #6, 2008.

Andreas Etges, "All that Glitters is not Gold. The 1953 coup against Mohammed Mosadegh in Iran.", *Intelligence and National Security*, vol.26, #4, 2011.

Julie Fedor, "Chekists look back on the Cold War: The Polemic Literature", *Intelligence and National Security*, vol.26, #6, 2011.

John Earl Haynes and Harvey Klehr, *Early Cold War Spies, the Espionage Trials that shaped American Politics*, New York: Cambridge UP, 2006.

Michael Herman, "Intelligence as Threat and Reassurance" *Intelligence and National Security*, vol.26, #6, 2011

Amy Knight, *How the Cold War Began. The Gouzenko Affair and the Hunt for Soviet Spies*, Toronto: McClennan and Stewart, 2005.

Bevan Sewell, "The Pragmatic Face of the Covert Idealist: The role of Allen Dulles in U.S. policy discussions on Latin America, 1955-1961," *Intelligence and National Security*, vol.26, #2-3, 2011.

COLD WAR: 1960 – 1975

Richard J. Aldrich, *The Hidden Hand, Britain, America and Cold War Secret Intelligence*, Woodstock: The Overlock Press, 2002.

Christopher Andrew, "American Presidents and their intelligence communities" *Intelligence and National Security*, vol. 1, #4, 1995.

Christopher Andrew and Oleg Gordievsky, *KGB, the Inside Story*, New York: Harper Collins, 1990.

Christopher Andrew and Vasili Mitrokhin, *The Sword and the Shield, the Mitrokhin Archive*, New York: Basic Books, 1999.

Uri Bar-Joseph, "The Intelligence Chief who went fishing in the cold. How Major General (res.) Eli Zeira exposed the identity of Israel's best source ever", *Intelligence and National Security*, vol. 23, #2, 2008.

Uri Bar-Joseph, "A Question of Loyalty: Ashraf Marwan and Israel's intelligence fiasco in the Yom Kippur War", *Intelligence and National Security*, vol. 30, #5, 2015

Uri Bar-Joseph, *The Watchman Fell Asleep, The Surprise of Yom Kippur and Its Sources*, SUNY Series in Israeli Studies, SUNY Press: 2005

Aleksandr Fursenko and Timothy Naftali, *One Hell of a Gamble, Khrushchev, Castro and Kennedy 1958-1964*. New York: W.W. Norton & Company, 1997.

Jennifer Kibbe, "Covert Action and the Pentagon" *Intelligence and National Security*, vol. 22, #1, 2007.

Dina Rezk, "Orientalism and intelligence analysis, deconstructing Anglo-American notions of the Arab", *Intelligence and National Security*, vol. 31, #2, 2016.

Schlomo Shpiro, "KGB Human Intelligence Operations in Israel 1948-1973", *Intelligence and National Security*, vol. 26, #6, 2011.

COLD WAR: 1975 – 1992

Richard J. Aldrich, *The Hidden Hand, Britain, America and Cold War Secret Intelligence*, Woodstock: The Overlock Press, 2002.

Christopher Andrew, "KGB Foreign Intelligence from Brezhnev to the coup", *Intelligence and National Security*, vol. 8, #3, 1993.

Christopher Andrew and Oleg Gordievsky, *KGB, the Inside Story*, New York: Harper Collins, 1990.

Christopher Andrew and Oleg Gordievsky, "More Instructions from the Centre: Top Secret Files on KGB global operations 1975-1985", *Intelligence and National Security*, vol. 7, #1, 1990.

Christopher Andrew and Vasili Mitrokhin, *The Sword and the Shield, the Mitrokhin Archive*, New York: Basic Books, 1999.

Uri Bar-Joseph, "Forecasting a Hurricane: Israeli and American estimations of the Khomeini Revolution", *Journal of Strategic Studies*, Vol. 36, #5, 2013.

Benjamin Fischer, "Anglo-American intelligence and the Soviet war scare: the untold story", *Intelligence and National Security*, vol. *Intelligence and National Security*, vol. 27, #1, 2012.

Benjamin Fischer, "Double Troubles: The CIA and Double Agents during the Cold War", *International Journal of intelligence and Counterintelligence*, Vol. 29, #1, 2016.

Loch K. Johnson, "The Church Investigation of 1975 and the evolution of modern intelligence accountability", *Intelligence and National Security*, vol. 23, #2, 2008.

Don Munton and Mariam Matejova, "Spies without borders? Western intelligence liaison, the Tehran hostage affair and Iran's Islamic Revolution", *Intelligence and National Security*, vol. 27, #5, 2012.

Schlomo Shpiro, "Soviet espionage in Israel, 1973-1991", *Intelligence and National Security*, vol. 30, #4, 2015.

Stan A. Taylor and Daniel Snow, "Cold War Spies: Why they spied and how they got caught", *Intelligence and National Security*, vol. 1, 1997.

Robert Wright, *Our Man in Tehran, Ken Taylor, the CIA and the Iranian Hostage Crisis*, Toronto: Harper Collins Publishers, 2010.

POST COLD WAR

Richard A. Best, Jr., "What the intelligence community got right on Iraq", *Intelligence and National Security*, vol. 23, #3, 2008.

Richard A. Clarke, *Against All Enemies, Inside America's War on Terror*, New York: Free Press, 2004.

Patrick Conway, "Red Team: How the Neoconservatives helped cause the Iraq intelligence failure", *Intelligence and National Security*, vol. 27, #4, 2012.

Michael Heagle, "Policy Lessons from Iraq on managing uncertainty and intelligence assessment: Why the Strategic Tactical Distinction matters", *Intelligence and National Security*, vol. 25, #3, 2010.

Scott Lucas, "Recognizing Policization: The CIA and the path to the 2003 War in Iraq", *Intelligence and National Security*, vol. 26, # 2-3, 2011.

Stephen Marrin, "The 9/11 Terrorist Attacks: A failure of policy not strategic analysis", *Intelligence and National Security*, vol. 26, #2-3, 2011.

John N.L. Morrison, "British intelligence failures in Iraq", *Intelligence and National Security*, vol. 26, #4, 2011.

Valerie Plame Wilson, *Fair Game, How a Top CIA Agent was Betrayed by Her Own Government*, New York: Simon and Schuster, 2007.

BOOKS ON RESERVE FOR HTST 490

Richard J. Aldrich, *The Hidden Hand, Britain, America and Cold War Secret Intelligence*, Woodstock: The Overlock Press, 2002. JF 1525.I6 A44 2000

Christopher Andrew and Oleg Gordievsky, *KGB, the Inside Story*, New York: Harper Collins, 1990. JN 6529 I6 A53 1990

Christopher Andrew and Vasili Mitrokhin, *The Sword and the Shield, the Mitrokhin Archive*, New York: Basic Books, 1999. HV8224 A53 1990

Uri Bar-Joseph, *The Watchman Fell Asleep, The Surprise of Yom Kippur and Its Sources*, SUNY Series in Israeli Studies, SUNY Press: 2005 DS 128.1 B36613 2005

Ralph Bennett, *Ultra and Mediterranean Strategy*, New York: William Morrow & Company, 1989. D766.B46 1999.

Richard A. Clarke, *Against All Enemies, Inside America's War on Terror*, New York: Free Press, 2004. HV 6432 C57 2004

Aleksandr Fursenko and Timothy Naftali, *One Hell of a Gamble, Khrushchev, Castro and Kennedy 1958-1964*. New York: W.W. Norton & Company, 1997. E841.F87 1997

Tomas Harris, *Garbo, the Spy who Saved D-Day*, Introduction by Mark Seaman, Toronto: The Dundurn Group, 2000. D810 S8 P848 2000.

John Earl Haynes and Harvey Klehr, *Early Cold War Spies, the Espionage Trials that shaped American Politics*, New York: Cambridge UP, 2006. UB 271.R9 H388 2006

Amy Knight, *How the Cold War Began. The Gouzenko Affair and the Hunt for Soviet Spies*, Toronto: McClelland and Stewart, 2005. FC 601 G68 K55 2005.

W.J.M. MacKenzie, *The Secret History of SOE: The Special Operations Executive, 1940-1945*, London: St. Ermin's Press, 2000. D810.S7 M22 2000.

David E. Murphy, *What Stalin Knew, The Enigma of Barbarossa*, New Haven: Yale UP, 2005. D764.M845 2005

Denis Smyth, *Deathly Deception, the Real Story of Operation Mincemeat*, Oxford: Oxford UP, 2010 D810.S7 S69 2010

Valerie Plame Wilson, *Fair Game, How a Top CIA Agent was Betrayed by Her Own Government*, New York: Simon and Schuster, 2007. JK 468 I6 W465 2007.

Robert Wright, *Our Man in Tehran, Ken Taylor, the CIA and the Iranian Hostage Crisis*, Toronto: Harper Collins Publishers, 2010. E 183.8 I55 W75 2010

Important Departmental, Faculty, and University Information

Faculty of Arts Program Advising and Student Information Resources:

- Have a question, but not sure where to start? The Arts Students Centre is your information resource for everything in Arts! Drop in at SS110, call 403-220-3580, or email artsads@ucalgary.ca. For detailed information on common academic concerns, you can also visit the Faculty of Arts website at <http://arts.ucalgary.ca/undergraduate>.

- For program planning and advice, contact the Arts Students Centre, Social Sciences 102, 403-220-3580, or visit <http://arts.ucalgary.ca/advising>.
- For registration (add/drop/swap), paying fees and assistance with your Student Centre, contact Enrolment Services at 403-210-ROCK [7625] or visit the office in the MacKimmie Library Block.

Departmental Twitter Handle @ucalgaryhist - For departmental updates and notifications

Writing:

This course will include written assignments. Faculty policy directs that all written assignments (including, although to a lesser extent, written exam responses) will be assessed at least partly on writing skills. Writing skills include not only surface correctness (grammar, punctuation, sentence structure, etc.) but also general clarity and organization. Research papers must be properly documented.

Writing Support:

Students are also encouraged to use Writing Support Services and other Student Success Centre Services, located on the 3rd floor of the Taylor Family Digital Library. Writing Support Services assist with a variety of assignments, from essays to lab reports. Students can book 30-minute one-on-one appointments online, sign up for 15-minute drop-in appointments, and register for a variety of writing workshops. For more information on this and other Student Success Centre services, please visit www.ucalgary.ca/ssc.

Copyright:

The University of Calgary has opted out of the Access Copyright Interim Tariff proposed by the Copyright Board. This means that instructors in all University of Calgary courses will strictly adhere to Copyright Act regulations and the educational exceptions permitted by the Act for both print and digital course material. What this simply means is that no copyrighted material may be placed on course D2L or web sites without the prior permission of the copyright holders. In some cases, this may mean that instructors will require you to purchase a print course pack from the University of Calgary bookstore or that you will have to consult books on reserve at the library. For more information on the University of Calgary's copyright policy, see <http://library.ucalgary.ca/copyright>.

Red Box Policy:

Essays and other assignments may be handed in to the red box located outside of the History Department office (SS 656). Please include the following information on your assignment: course name and number, instructor, your name and your student number. Assignments received after 4:00 p.m. will be date stamped with the date of the next business day. We do not time stamp any papers. Please do not bring your paper into the office to be stamped. The box is emptied promptly at 8:30 a.m. and at 4:00 p.m. Monday to Friday.

Departmental Grading System:

The following percentage-to-letter grade conversion scheme has been adopted for use in all Canadian Studies, History, and Latin American Studies courses.

Percentage	Letter Grade	Grade Point Value	Description
90-100	A+	4.00	Outstanding
85-89	A	4.00	Excellent—superior performance showing comprehensive understanding of subject matter.
80-84	A-	3.70	
77-79	B+	3.30	
73-76	B	3.00	Good—clearly above average performance with knowledge of subject matter generally complete.
70-72	B-	2.70	
67-69	C+	2.30	
63-66	C	2.00	Satisfactory—basic understanding of the subject matter.
60-62	C-	1.70	Receipt of a grade point average of 1.70 may not be sufficient for promotion or graduation.
56-59	D+	1.30	Minimal pass—marginal performance; generally insufficient preparation for subsequent courses in the same subject.
50-55	D	1.00	
0-49	F	0	Fail—unsatisfactory performance or failure to meet course requirements.

Plagiarism:

Plagiarism occurs when one submits or presents one's work in a course, or ideas and/or passages in a written piece of work, as if it were one's own work done expressly for that particular course, when, in fact, it is not. As noted in *The History Student's Handbook*

(http://hist.ucalgary.ca/sites/hist.ucalgary.ca/files/history_students_handbook_2015.pdf), plagiarism may take several forms:

- Failure to cite sources properly may be considered plagiarism. This could include quotations, and wording used from another source but not acknowledged.
- Borrowed, purchased, and/or ghostwritten papers are considered plagiarism, as is submitting one's own work for more than one course without the permission of the instructor(s) involved.
- Extensive paraphrasing of one or a few sources is also considered plagiarism, even when notes are used, unless the essay is a critical analysis of those works.

- The use of notes does not justify the sustained presentation of another author's language and ideas as one's own.

Plagiarism is a serious academic offence and written work that appears to contain plagiarized passages will not be graded. All such work will be reported to the Faculty of Arts' associate deans of students who will apply the penalties specified in the *University of Calgary Calendar*.

Universal Student Ratings of Instruction:

At the University of Calgary, feedback provided by students through the Universal Student Ratings of Instruction (USRI) survey provides valuable information to help with evaluating instruction, enhancing learning and teaching, and selecting courses (www.ucalgary.ca/usri). Your responses make a difference. Please participate in USRI Surveys.

Student Accommodations:

Students seeking an accommodation based on disability or medical concerns should contact Student Accessibility Services; SAS will process the request and issue letters of accommodation to instructors. For additional information on support services and accommodations for students with disabilities, visit www.ucalgary.ca/access/.

Students who require an accommodation in relation to their coursework based on a protected grounds other than disability should communicate this need in writing to their Instructor.

The full policy on Student Accommodations is available at <http://www.ucalgary.ca/policies/files/policies/student-accommodation-policy.pdf>

Other Useful Information:

- Faculty of Arts Representatives: 403-220-6551, arts1@su.ucalgary.ca, arts2@su.ucalgary.ca, arts3@su.ucalgary.ca, arts4@su.ucalgary.ca.
- *Safewalk and Campus Security*: 403-220-5333.

Please also familiarize yourself about the following topics by consulting the information at these links:

- *Freedom of Information*: <http://www.ucalgary.ca/legalservices/foip/foip-hia>
- *Emergency Evacuation Assembly Points*: <http://www.ucalgary.ca/emergencyplan/assemblypoints>
- *Safewalk*: <http://www.ucalgary.ca/security/safewalk>
- *Student Union Information*: <http://www.su.ucalgary.ca/>
- *Graduate Student Association*: <http://www.ucalgary.ca/gsa/>
- *Student Ombudsman Office*: <http://www.ucalgary.ca/provost/students/ombuds>